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EXPRESS MAIL NO. EV 808084737 US

Date Mailed: October 4, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	
HOU et al.	Group Art Unit: 1751
Application Serial No.: 10/683,869	Examiner: THOMAS, Jaison P.
Filed: October 9, 2003	Attorney's Docket No:
For: ELECTROPHORETIC DISPERSIONS	07783.0071.NPUS01
	Confirmation No.: 7895

Supplemental Information Disclosure Statement

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

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This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

☐ 1. This Information Disclosure Statement is being filed;

- ☐ a. Within three months of the U.S. filing date of a national application other than a continued prosecution application under §1.53(d);
- ☐ b. Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
- ☐ c. Before the mailing date of a first Office Action on the merits;
- ☐ d. Before the mailing of a first Office Action after filing of a request for continued examination under § 1.115.

No statement under 37 C.F.R. § 1.97(e) or fee is required.

or;

■ 2. This Information Disclosure Statement is being filed after the period specified in paragraph 1(a)-1(d) above, but before the mailing date of a Final Rejection or Notice of Allowance, or action that otherwise closes prosecution in the application, and

- ☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1), or
- ☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure

Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2), or

■ c. The Commissioner is hereby authorized to charge our Deposit Account No. 08-3038 referencing Attorney Docket No. 07783.0071.NPUS01 the amount of $180 in payment of the fee under 37.C.F.R. § 1.17(p).

☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but on or before payment of the Issue Fee. Attached is our Check No. _____ in the amount of $ _____ in payment of the fee under 37 C.F.R. § 1.17(i), and

☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1), or

☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

☐ 4. Relevance of the non-English language document(s) is discussed in the present specification.

☐ 5. The document(s) was/were cited in a corresponding foreign application. An English language version of the foreign search report is attached for the Examiner's information.

☐ 6. A concise explanation of the relevance of the non-English language document(s) appears below:

☐ 7. The Examiner's attention is directed to co-pending U.S. Patent Application No. _____, filed _____, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.

☐ 8. Copies of all the documents, listed in 1449 Form were cited by or submitted to the Office in Application No. _____, filed _____, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing docket number 07783.0071.NPUS01.

Respectfully submitted,

Date: October 4, 2006

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OCT 04 2006
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INFORMATION DISCLOSURE CITATION SUPPLEMENTAL PTO-1449	ATTY. DOCKET NO. 07783.0071.NPUS01	SERIAL NO. 10/683,869
	APPLICANT HOU et al.	
	FILING DATE: 10/9/2003	GROUP ART UNIT: 1751

U.S. PATENT DOCUMENTS

EX'R INITIAL	PATENT NO.	DATE MM-YYYY	NAME	CLASS	SUBCLASS	FILING DATE
	3,006,921	10-1961	Weinmayr			
	3,870,517	03-1975	Ota et al			
	4,093,534	06-1978	Carter et al			
	4,655,897	04-1987	DiSanto, et al			
	4,772,103	09-1988	Saxe			
	5,177,476	01-1993	DiSanto, et al			
	5,260,435	11-1993	Sawada, et al			
	5,378,589	01-1995	Sawada, et al			
	5,460,688	10-1995	DiSanto, et al			
	5,573,711	11-1996	Hou et al			
	6,262,833	07-2001	Loxley et al			
	6,549,327	04-2003	Foucher et al			
	6,822,782	11-2004	Honeyman et al			
	2005-0039274	02-2005	Yang, et al			

FOREIGN PATENT DOCUMENTS

EX'R INITIAL	PATENT NO.	DATE MM-YYYY	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO
	WO 03/069403	08-2003	PCT				
	WO 04/068234	08-2004	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EX'R INITIAL	DOCUMENT
	Dalisa, A.L. (1977, July) Electrophoretic Display Technology. *IEEE Trans. Dev.*, pp 827.
	Mossman, M.A., et al. (2002) Grey Scale Control of TIR Using Electrophoresis of Sub-Optical Pigment Particles, *SID 02 Digest*, pp 522.
	Mossman, M.A., et al (2001) New Reflective Color Display Techniques Based on Total Internal Reflection and Subtractive Color Filtering. *SID 01 Digest*, pp 1054, (2001).

	Mossman, M.A. et al (2001) New Reflective Display Based on Total Internal Reflection in Prismatic Microstructure. *SID IDRC Proceedings*, pp 311.

EXAMINER:	**DATE CONSIDERED:**

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

***If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identical in the statement and relied upon for an earlier filing date under 35 U.S.C. §120. 37 C.F.R. §1.98 (d).**